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2014 J. Phys.: Condens. Matter 26 045901

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# Elastic anomalies associated with structural and magnetic phase transitions in single crystal hexagonal YMnO<sub>3</sub>

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Received 26 August 2013, in final form 27 October 2013

Published 6 January 2014

## Abstract

Resonant ultrasound spectroscopy has been used to measure the elastic and anelastic behaviour through known structural and magnetic phase transitions in single crystal hexagonal YMnO<sub>3</sub>. Anomalous elastic behaviour is observed at the high temperature structural transition at  $\sim 1260$  K, with a discontinuity in the elastic constants and nonlinear recovery below  $T_C$ , consistent with  $\lambda e Q_s^2$  coupling. There is no change in dissipation associated with this high temperature transition, and no evidence in the elastic or anelastic behaviour for any secondary transition at  $\sim 920$  K, thus supporting the thesis of a single high temperature transformation. Elastic stiffening is observed on cooling through  $T_N$ , in accordance with previous studies, and the excess elastic constant appears to scale with the square of the magnetic order parameter. The strains incurred at  $T_N$  are a factor of  $\sim 20$  smaller than those at the structural transition, implying very weak  $\lambda e Q_m^2$  coupling and a dominant contribution to the variation in the elastic constants from  $\lambda e^2 Q_m^2$ . The increased acoustic dissipation above  $T_N$  is consistent with an order–disorder process.

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Some of the most successful examples of magnetoelectric multiferroics have come from the hexagonal rare earth manganites, RMnO<sub>3</sub>, and significant research has been undertaken to understand the magnetic and ferroelectric properties of these compounds (see, for example, [1–8]). Of these materials YMnO<sub>3</sub>, with a layered structure with Y ions placed between sheets of corner sharing MnO<sub>5</sub> triangular bipyramids, has been of particular interest [9–16]. It is ferroelectric (FE) below  $\sim 900$ – $1300$  K and antiferromagnetic (AFM) below  $\sim 75$  K [15].

In YMnO<sub>3</sub> the polarization of the ferroelectric structure is found to be parallel to the crystallographic  $c$  axis while the magnetic moments are located in the  $ab$  plane, perpendicular to the  $c$  axis. Bilinear coupling between the magnetic (AFM) and ferroelectric order parameters is symmetry forbidden but magnetoelectric effects have nevertheless been observed. In particular, Fiebig *et al* [11] observed coupling of antiferromagnetic and ferroelectric order parameters at the ferroelectric domain boundaries. The explanation for the origin of this magnetoelectric effect has been suggested to be the interaction between ferroelectric (FE) and antiferromagnetic (AFM) domain walls. The opposing polarization of neighbouring FE domains induces a strain at the domain wall while the gradual rotation of the magnetic moments across an AFM domain wall causes a local magnetic moment. These two effects can interact via

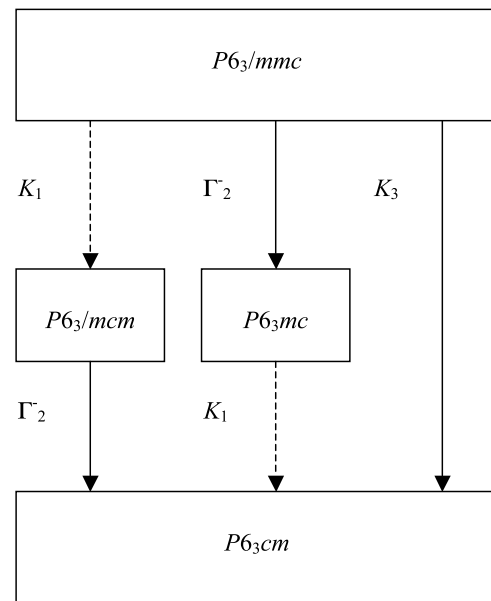


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the piezomagnetic effect, ‘clamping’ AFM and FE domain walls together such that any reversal of FE order triggers a reversal of AFM order via the induced strain [8, 11, 17]. Alternatively, theory has shown that ‘clamping’ of the magnetic and ferroelectric order parameters may be caused by the effect of a Dzyaloshinsky–Moriya interaction, which was found to operate within a domain boundary and cause a reversal of the spin direction across an FE wall [18]. Huang *et al* [9] also observed an anomaly in the dielectric constant at  $T_N$ , but this can probably be ascribed [17] to biquadratic coupling, which is always symmetry allowed.

The temperatures of the high temperature phase transitions in hexagonal  $\text{YMnO}_3$  have been the subject of much debate [3, 14, 19–23]. A symmetry analysis [15, 24, 25] shows that there are three possible paths from the high temperature  $P6_3/mmc$  phase to the room temperature ferroelectric  $P6_3cm$  phase, summarized in figure 1. Fennie *et al* [25] and Kim *et al* [23] supported the path of a single transition (at  $\sim 1270$  K), driven by a  $K_3$  order parameter which couples to a secondary zone centre order parameter (associated with  $\Gamma_2^-$ ) to induce ferroelectricity. In contrast to this, some studies [14, 24, 26] have suggested one of the paths that involves an intermediate phase between the paraelectric  $P6_3/mmc$  phase and the ferroelectric  $P6_3cm$  phase. The most recent powder neutron diffraction data agree with the assessment of a single, continuous high temperature phase transition causing a tripling of the unit cell at  $\sim 1258$  K from  $P6_3/mmc$  to polar  $P6_3cm$  [15]. Ferroelectricity is caused by opposite but unequal motion of neighbouring yttrium atoms and accompanying buckling of the  $\text{MnO}_5$  octahedra, and therefore the system is actually ferrielectric [27]. This is in contrast to the usual mechanism for ferroelectricity, as in  $\text{BaTiO}_3$ , where displacements of  $d^0$  ions tend to be dominant, but the coexistence of ferroelectricity and magnetism is precluded due to the absence of unpaired electrons [28]. Gibbs *et al* [15] cast doubt on previous reports that there is an intermediate phase between the  $P6_3/mcm$  phase and the  $P6_3cm$  phase, but did show some limited evidence for a secondary isosymmetric transition at  $\sim 920$  K within the  $P6_3cm$  phase which, surprisingly, reduces the polarization of the structure. They suggested that this could be due to polar displacements of the Mn–O equatorial planes, which could be related to an electronic transition involving the hybridization of one of the Y–O bonds. A full list of the previously reported high temperature transition temperatures and the methods used to measure them is given in table 1 of Gibbs *et al* [15]. The high temperature structural phase transition in  $\text{YMnO}_3$  has been considered further in supporting work [16].

$\text{YMnO}_3$  orders antiferromagnetically at  $\sim 75$  K, although Néel points between 70 and 80 K have been reported [2, 10, 13]. Antiferromagnetism arises from the  $\text{Mn}^{3+}$  ions, with  $S = 2$  spin state, which make up a single magnetic sublattice. The manganese ions sit in a pseudo-two-dimensional triangular arrangement parallel to the (001) plane, with  $120^\circ$  angles between the moments on neighbouring  $\text{Mn}^{3+}$  ions [29], and a significant degree of magnetic frustration is revealed by large Weiss–Néel point ratios of  $\sim 10$  [2]. The low temperature magnetic structure



**Figure 1.** The hierarchy of possible high temperature phase transitions in  $\text{YMnO}_3$ , adapted from Fennie and Rabe [25]. Solid arrows denote transitions that can be continuous and dashed arrows show transitions that must be discontinuous. Arrow labels denote the distortion modes leading to the lower symmetry space group.  $K$  modes lead to a tripling of the unit cell.

of  $\text{YMnO}_3$  has never been completely confirmed although current consensus appears to be that it belongs to either  $P6_3cm$  or  $P6_3'cm'$  which form a homometric pair (magnetic structures that are indistinguishable via neutron diffraction), the latter structure being favoured by optical second harmonic spectroscopy [29–34].

The magnetoelastic coupling effect in  $\text{YMnO}_3$  has been the subject of much work in recent years and a series of neutron diffraction experiments has been carried out to monitor changes in lattice parameters and atomic positions at  $T_N$  [7, 10, 29, 35–37]. Monitoring of changes in atomic positions and bond lengths is difficult due to the small changes involved. This becomes even more complex in a system such as  $\text{YMnO}_3$  where magnetic structures have the propagation vector  $\mathbf{k} = 0$ , meaning that magnetic reflections occur at the same position as nuclear reflections, causing great difficulty in the refinement of both magnetic and crystal structures [37]. Lee *et al* [7] observed ‘giant’ atomic displacements in  $\text{YMnO}_3$  from neutron and x-ray diffraction experiments and suggested that these displacements could be responsible for coupling of the magnetic and electric order parameters. However, as remarked by Chatterji *et al* [37], no explanation was offered as to how correlations between structural and magnetic parameters were avoided and therefore it is difficult to accept this result. Furthermore, the magnitude of the displacement of the  $\text{Mn}(x)$  position was  $0.01 \text{ \AA}$ , which is within the spread of reported positions at room temperature ( $\sim 0.015 \text{ \AA}$ ), as summarized in table 1.

Poirier *et al* [40] performed low temperature ultrasonic measurements to obtain values for four out of the five elastic constants of hexagonal  $\text{YMnO}_3$  and found there to be stiffening of all of them on cooling through  $T_N$ . The

**Table 1.** Variation in reported room temperature Mn(x) position.

| Reference      | Mn(x) position |
|----------------|----------------|
| [38]           | 0.324 72       |
| [15]           | 0.317 7(9)     |
| [35]           | 0.333 0(17)    |
| [29]           | 0.320 8(18)    |
| [39] (at 10 K) | 0.333 5(6)     |

largest amount of stiffening was observed for  $C_{66}$  ( $\sim 3\%$ ) and  $C_{11}$  ( $\sim 1\%$ ), in comparison with  $C_{33}$  and  $C_{44}$  ( $< 0.1\%$ ), corresponding to a stronger influence of magnetic interactions directed within the (001) plane rather than perpendicular to it. Using a Landau description the relevant strain/order parameter coupling term was determined to be  $\lambda e^2 S_{\perp}^2$ , where  $e$  is a strain,  $\lambda$  is a strain/order parameter coupling constant, and  $S_{\perp}$  is the in-plane spin component representing the magnetic order parameter. Terms of the form  $\lambda e^2 Q_m^2$ , where  $Q_m$  is a generic magnetic order parameter, are always allowed by symmetry and would lead to stiffening or softening in proportion to  $Q_m^2$ , depending on the sign of the coupling coefficient,  $\lambda$ . However, coupling terms  $\lambda e_1 Q_m^2$  and  $\lambda e_3 Q_m^2$  are also allowed and would be expected to give a stepwise softening at the transition point if the order parameter is able to relax on the timescale of the applied stress. The magnitude of the step scales as  $\frac{\lambda^2}{2b}$  for a second order displacive transition, where  $b$  is the Landau coefficient for the fourth order term, indicating that if the coupling coefficient  $\lambda$  is small, the amount of softening will also be small. A recent study by Chatterji *et al* [37] allows determination of the magnitude of the strains and has shown that they scale with the square of the magnetic order parameter, refined from neutron diffraction studies, consistent with the expected  $\lambda e Q_m^2$  coupling, but also that they are indeed very small.

The aim of this study was to analyse the elastic and anelastic behaviour in  $\text{YMnO}_3$  below  $T_N$  and through the higher temperature transitions using resonant ultrasound spectroscopy (RUS).

## 2. Experimental methods

The experimental RUS system consists of a single crystal or polycrystalline sample typically cut to the shape of a rectangular parallelepiped being held lightly between two piezoelectric transducers. The first transducer is driven by a frequency synthesizer at constant amplitude across a range of ultrasonic frequencies (0.1–2 MHz) which in turn causes the sample to resonate at particular frequencies. The second transducer acts as a signal detector which records the response of the sample in terms of its displacement when it is vibrated across the frequency range. The data are recorded using Stanford [41] or DRS Modulus II electronics. The vibrational frequencies detected represent the frequencies of the normal modes of the sample. The square of a given peak frequency is directly proportional to the elastic constants associated with that normal mode [42].

The  $\text{YMnO}_3$  single crystals used in this study were grown by the floating zone technique in air using a four-mirror

furnace (Crystal Systems Inc. FZT-10000-H-VI-VP). They belonged to the same batch as described in [43]. The powder used for the single crystal growth was synthesized by sintering stoichiometric amounts of predried  $\text{Y}_2\text{O}_3$  and  $\text{MnO}_2$  at  $1200^\circ\text{C}$  in air. The crystallinity of the boule was verified by Laue diffraction. The crystal used for low temperature RUS experiments was an almost regular parallelepiped with approximate dimensions  $5\text{ mm} \times 4\text{ mm} \times 3\text{ mm}$  and mass 28 mg. The crystal used for high temperature experiments had an irregular shape with dimensions of the order of  $\sim 4\text{--}5\text{ mm}$  and mass 37 mg.

### 2.1. High temperature

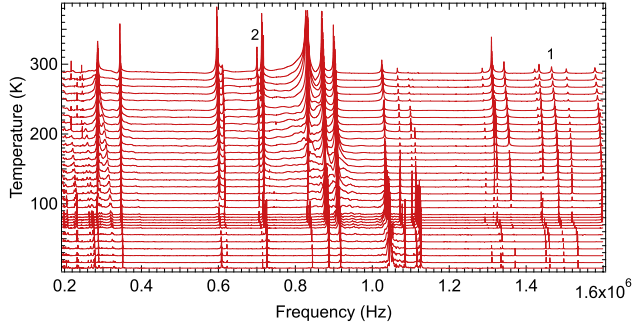
The RUS setup for high temperature measurements consists of two alumina rods mounted horizontally in a Netzsch  $1600^\circ\text{C}$  furnace. The sample is held lightly across a pair of corners between the ends of the rods within the furnace. Piezoelectric transducers are attached to the other ends of the rods outside the furnace. The high temperature apparatus was calibrated against the known alpha–beta transition in quartz [44].

The sample was loaded onto the high temperature head and data were collected during heating and cooling sequences, with an equilibration time of 15 min at each temperature and 20 000 data points collected for each spectrum. Two high temperature sequences were run. The first was from 330 to 830 K in 50 K steps, 830–970 K in 5 K steps, 970–830 K in 5 K steps, 830–330 K in 50 K steps and 330 to 290 K in a 40 K step. The second was from 330 to 1180 K in 50 K steps, 1180–1320 K in 5 K steps and then from 1320 to 1240 K in 5 K steps, 1240–1160 K in 1 K steps, 1160–1140 K in 2 K steps, 1140–330 K in 50 K steps and 330–290 K in a 40 K step.

### 2.2. Low temperature

In the low temperature head the sample was placed lightly across a pair of faces between the two transducers in a mount which was lowered vertically into a helium flow cryostat, as described by McKnight *et al* [45]. The sample chamber was filled with a few mbar of helium to allow heat exchange between the sample and the cryostat. Two low temperature runs were performed. For the first run the sample was cooled from 280 to 10 K in 30 K steps with a 20 min settle time at each temperature. The sample was then heated from 10 to 30 K in 5 K steps, 30–50 K in 1 K steps and 50–295 K in 5 K steps, with an equilibration time of 15 min at each temperature. For all data collection in this run, 50 000 data points were collected over the frequency range 100–1750 kHz. The second run was performed over the frequency range 100–1600 kHz, again with 50 000 data points per spectrum. The sample was cooled from 275 to 5 K in 30 K steps with a 20 min settle time and then heated from 5 to 65 K in 5 K steps, 65 to 85 K in 2 K steps and 85 to 295 K in 5 K steps, with a 15 min settle time at each temperature.

All spectra were transferred to the software package Igor Pro (WaveMetrics) for analysis. The peak positions and widths at half height were determined for a selection of peaks



**Figure 2.** Selected low temperature RUS spectra for  $\text{YMnO}_3$ . The y-axis should be amplitude in volts but the spectra have been offset in proportion to the temperature at which they were collected and the axis is therefore labelled as temperature. Peaks **1** and **2** were used to obtain values of  $f^2$  and  $Q^{-1}$ .

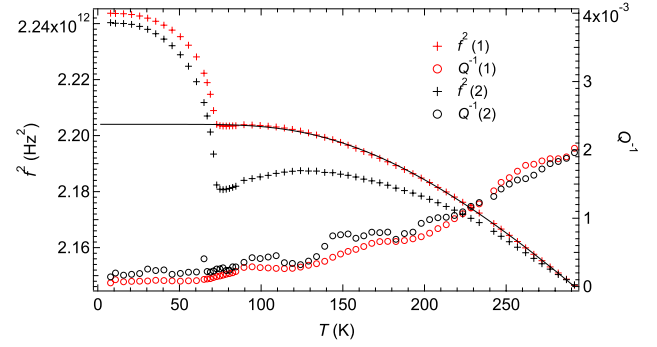
by fitting with an asymmetric Lorentzian function. The square of the peak frequency is proportional to the elastic constants associated with that vibrational mode. The quality factor,  $Q$ , was calculated using the relationship  $Q = f/\Delta f$ , where  $f$  is the peak frequency and  $\Delta f$  is the width of the peak at half its maximum height. The inverse of the quality factor,  $Q^{-1}$ , is a measure of acoustic dissipation in the sample.

### 3. Results

#### 3.1. Low temperature

Figure 2 shows RUS spectra obtained during heating through the temperature range 8–292 K and stacked in proportion to the temperature at which they were collected. The general behaviour with respect to decreasing temperature is a very slight increase in peak frequency representative of normal elastic stiffening. However, below 75 K, there is an anomalous increase in stiffening, most obvious in less intense peaks at higher frequencies, and saturating as the temperature tends to 0 K.

Many peaks have been analysed over the entire temperature range, recording the square of the peak frequency and the acoustic dissipation. Data from two of these (peaks **1** and **2** in figure 2) are representative and are given in figure 3. On decreasing the temperature from room temperature there is a stiffening of both peaks. In peak **1** stiffening ceases at  $\sim 100$  K and there is a very small minimum just above  $T_N$ . Below  $T_N$  there is a further elastic stiffening which saturates as  $T$  approaches 0 K. For peak **2** a very similar evolution is observed except that there is increased softening above the transition temperature, leading to a larger minimum than in peak **1**. An abrupt change in slope occurs for both peaks at 73 K, but the actual minimum of peak **2** occurs at 76.5 K. The patterns of evolution of peaks **1** and **2** are similar to those seen by Poirier *et al* [40] for the elastic constants  $C_{11}$  and  $C_{66}$  respectively. The acoustic dissipation is extremely low ( $Q^{-1} \sim 0.0001$ – $0.0002$ ) in the lowest temperature range but starts to increase above  $\sim 70$  K and continues to rise up to room temperature. There are also possibly some broad features in  $Q^{-1}$  at  $\sim 90$  and  $\sim 150$  K but these are at the level



**Figure 3.**  $f^2$  (crosses) and  $Q^{-1}$  (circles) displayed as a function of temperature for peaks **1** (red) and **2** (black) with  $f^2(2)$  data scaled to be equivalent to  $f^2(1)$  at room temperature. The  $f^2$  data for peak **1** in the temperature range  $\sim 290$ – $75$  K have been fitted using equation (1) (shown as a black line) where  $a_1 = 2.4 \times 10^{12} \text{ Hz}^2$ ,  $a_2 = -7.1 \times 10^8 \text{ Hz}^2$  and  $\theta_s = 317 \text{ K}$ .

of noise and do not coincide with known anomalies of other properties of  $\text{YMnO}_3$ . Other peaks analysed from figure 2 showed the same stiffening below  $T_N$  but showed different amounts of softening on approaching  $T_N$  from above.

The excess elastic constant ( $\Delta C$ ) below  $T_N$  was determined by fitting a baseline of the form [46]

$$a_0 = a_1 + a_2 \theta_s \coth \frac{\theta_s}{T} \quad (1)$$

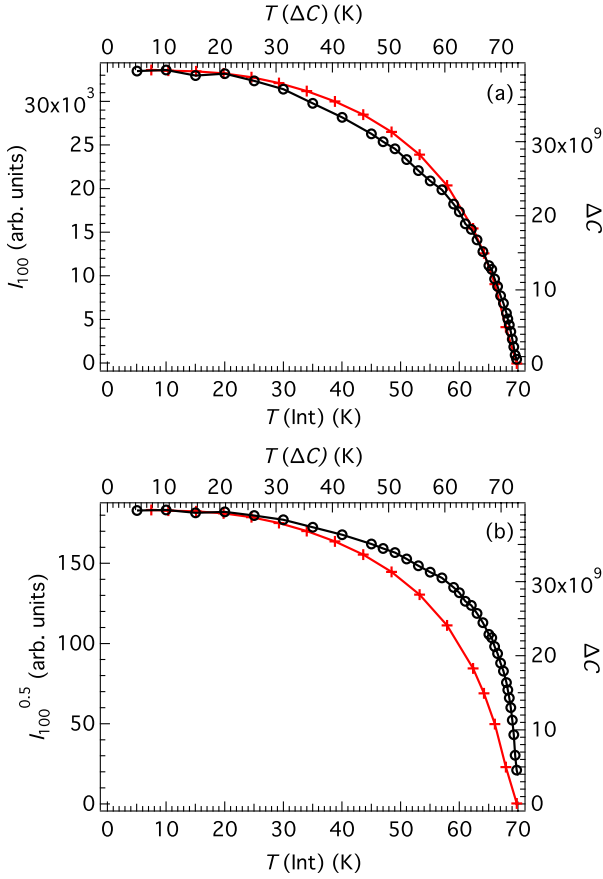
to  $f^2$  data for peak **1** as shown in figure 3.  $\Delta C$  values were given by the difference between the data and this baseline, and are displayed in figure 4.

#### 3.2. High temperature

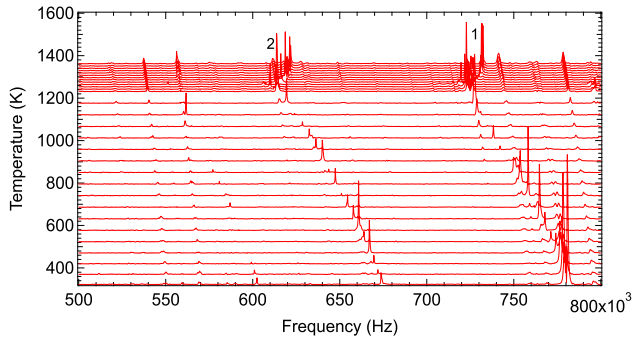
A stack of spectra obtained during cooling is displayed in figure 5. Peaks that vary very little with temperature are caused by resonances of the rods of the high temperature RUS instrumentation. The sample peaks are also weak but they clearly show that on cooling from 1400 K, there is an elastic anomaly at  $\sim 1260$  K which involves a softening of the elastic constants. Below this anomaly there is nonlinear stiffening, which is followed by a gentle linear increase in peak frequency down to room temperature consistent with thermal stiffening on cooling.

Figure 6 shows the variation of  $f^2$  and  $Q^{-1}$  on heating and cooling for peaks **1** and **2** (as labelled in figure 5), which are representative of the behaviour of other sample peaks. The values of  $f^2$  have been scaled to be in the range  $5$ – $7 \times 10^{11} \text{ Hz}^2$ . Additional data from the second high temperature RUS experiment have been added to this figure to analyse the behaviour through the possible transition at  $\sim 920$  K. Since these data are from a different experiment, where it is likely that the sample was held in a slightly different orientation, different resonances were excited and the frequencies have therefore been scaled to overlap with the data from the first experiment. It can be seen that on cooling from high temperatures the anomaly at  $\sim 1260$  K is associated with a step in the elastic constants and that there is nonlinear recovery below the transition point. This behaviour represents the



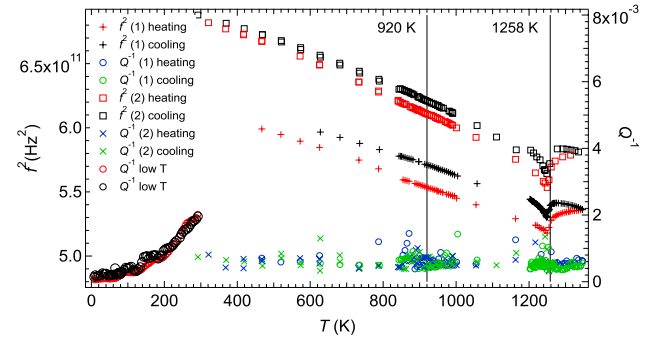


**Figure 4.** The excess elastic constant from peak 1,  $\Delta C$  (red crosses), plotted against temperature. Also shown in (a) are data for the intensity (less the nuclear contribution) of a magnetic ordering reflection ( $\propto Q_m^2$ ) (black circles) from [13] and in (b) the square root of this intensity ( $\propto Q_m$ ) (black circles); these are referred to the left and bottom axes, whereas  $\Delta C$  is referred to the right and top axes. The temperature axes were adjusted so as to define a common transition point.



**Figure 5.** Selected RUS spectra collected during cooling from  $\sim 1360$  K, offset up the y-axis in proportion to the temperature at which they were collected. Peaks analysed for  $f^2$  and  $Q^{-1}$  (figure 6) are labelled.

continuous transition from the paraelectric  $P6_3/mmc$  space group to polar  $P6_3cm$  via a tripling of the unit cell. There is a systematic difference in resonance frequencies on heating and cooling represented by the red and black data respectively, with the sample slightly stiffer on cooling. Figure 6 also shows



**Figure 6.** High temperature variation in  $f^2$  and  $Q^{-1}$  on heating and cooling for peaks 1 and 2, as labelled in figure 5. The  $f^2$  data have been scaled to appear in the range  $5\text{--}7 \times 10^{11}$  Hz<sup>2</sup>. The low temperature variation in  $Q^{-1}$  has also been added for comparison. The temperatures of previously reported phase transitions from [15] are added as vertical lines.

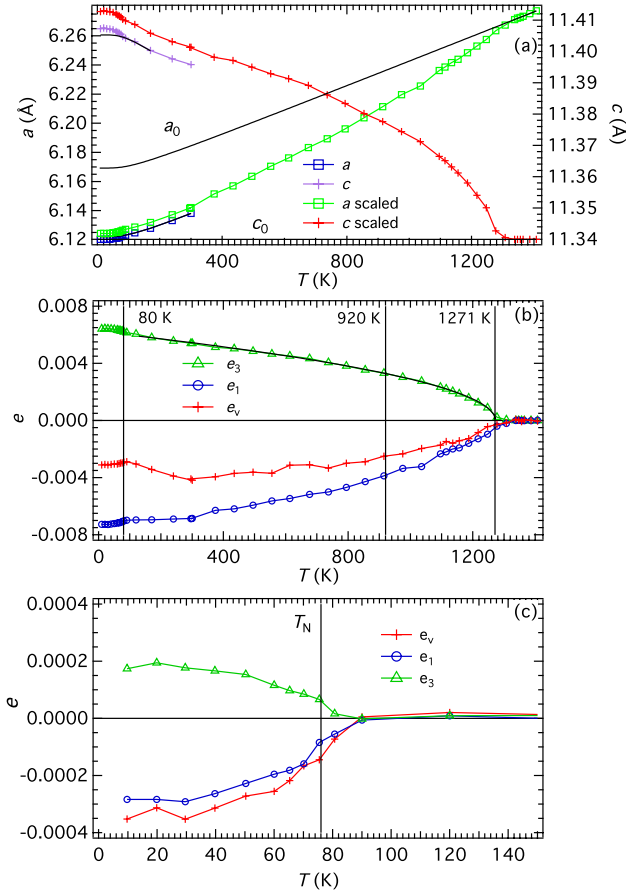
the high temperature variation of the acoustic dissipation, and it is apparent that there is essentially no change in  $Q^{-1}$  throughout the high temperature range. The low temperature variation in the dissipation has also been added to this plot for comparison, but the step at 300 K is an artefact arising from the different design of the high and low temperature instruments. It is notable that there is no sign of any kind of elastic or anelastic anomaly in the vicinity of 920 K, where Gibbs *et al* [15] suggested an isosymmetric phase transition might be occurring.

#### 4. Strain analysis

In order to understand the elastic anomalies which accompany a phase transition it is usually necessary to first understand the strain behaviour. Lattice parameter data from Lee *et al* [35] and Gibbs *et al* [15] are used here to characterize spontaneous strains arising at both the magnetic ordering transition and the high temperature structural transition. The primary lattice parameter data are reproduced in figure 7(a) with the low temperature data scaled to overlap with the high temperature data. A baseline of the form of equation (1) was fitted to the  $a$  lattice parameter and the unit cell volume, and a linear baseline was fitted to  $c$ . The strains  $e_1 = e_2, e_3$  and  $e_V = 2e_1 + e_3$  were then calculated in the usual manner and are displayed in figure 7(b) [47]. Low temperature strains alone, as obtained by fitting baselines to the primary data between  $\sim 80$  and  $\sim 300$  K for  $a$  and  $\sim 80$  and  $\sim 150$  K for  $c$ , are displayed in figure 7(c).

There is a clear anomaly in all the strains at  $\sim 1270\text{--}1300$  K but no obvious change in behaviour at the reported secondary transition at  $\sim 920$  K. There is a further deviation at  $\sim 80$  K which is of much smaller magnitude but of the same sign as the strains derived from higher temperatures. The solid black curve in figure 7(b) represents the solution to a Landau 246 potential (see equation (4) below). This can be written as [48]

$$Q^2 = \frac{1}{2} \frac{-\frac{b}{a} + \sqrt{\left(\frac{b}{a}\right)^2 - 4\frac{c}{a}(T - T_c)}}{\frac{c}{a}}. \quad (2)$$



**Figure 7.** (a) Original low temperature lattice parameter data from Lee *et al* [35] (blue squares and purple crosses) and high temperature data from Gibbs *et al* [15] (green squares and red crosses including scaled low temperature data to allow fitting over the entire temperature range). High temperature fit coefficients (fits made above 1320 K) for  $a$ :  $a_1 = 6.157$  Å,  $a_2 = 8.492 \times 10^{-5}$  Å,  $\theta_s$  set at 141 K,  $c$ :  $c_0 = 11.34 - 3.014 \times 10^{-5} T$  and for cell volume (not shown):  $a_1 = 370.0$  Å<sup>3</sup>,  $a_2 = 0.0122$  Å<sup>3</sup>,  $\theta_s$  set at 141 K. (b) Strains  $e_1$ ,  $e_3$  and  $e_v$  as a function of temperature. The curved solid line is a Landau 246 fit to  $e_3$  high temperature data. (c) Low temperature strains alone, calculated from lattice parameter data from [35] (figure 7(a)). Fit coefficients for  $a$ :  $a_1 = 6.10$  Å,  $a_2 = 8.86 \times 10^{-5}$  Å,  $\theta_s = 141$  K,  $c$ :  $a_1 = 11.42$  Å,  $a_2 = -7.63 \times 10^{-5}$  Å,  $\theta_s$  set at 141 K and cell volume:  $a_1 = 369$  Å<sup>3</sup>,  $a_2 = 0.0084$  Å<sup>3</sup>,  $\theta_s$  set at 141 K.

Assuming  $e \propto Q^2$  this can be rewritten for the temperature,  $T$ , in terms of the strain,  $e$ , as

$$T = T_c + Ae^2 + Be. \quad (3)$$

Therefore a polynomial in  $e_3$  was fitted to the data in the interval  $\sim 300$ – $1250$  K in figure 7(b) (shown as a solid black curve), which gave a value of  $T_c = 1271 \pm 7$  K with coefficients  $A \propto \frac{c}{a} = -3.33 \times 10^7$  and  $B \propto \frac{b}{a} = 2843$ .

Using ISOTROPY [49] a Landau expansion for the sequence of transitions  $P6_3/mmc \leftrightarrow P6_3cm \leftrightarrow P6'_3cm'/P6_3cm$  has been produced. It turns out that with respect to the same parent space group,  $P6_3/mmc$ , the form of the expansion is the same for both transitions, the structural one with  $K_3$  as the active representation and the magnetic one with  $mK_3$ .

Each would have two order parameter components,  $q_1$  and  $q_2$ , giving

$$\begin{aligned} G = & \frac{1}{2}a(T - T_c)(q_1^2 + q_2^2) \\ & + \frac{1}{4}b(q_1^2 + q_2^2)^2 + \frac{1}{6}c(q_1^2 + q_2^2)^3 \\ & + \frac{1}{6}c'(11q_1^6 + 15q_1^4q_2^2 + 45q_1^2q_2^4 + 9q_2^6) \\ & + \lambda_1(e_1 + e_2)(q_1^2 + q_2^2) + \lambda_3e_3(q_1^2 + q_2^2) \\ & + \lambda_4(e_4^2 + e_5^2)(q_1^2 + q_2^2) \\ & + \lambda_5[(e_1 - e_2)^2 + e_6^2](q_1^2 + q_2^2) \\ & + \frac{1}{4}(C_{11}^\circ + C_{12}^\circ)(e_1 + e_2)^2 \\ & + \frac{1}{4}(C_{11}^\circ - C_{12}^\circ)(e_1 - e_2)^2 + C_{13}^\circ(e_1 + e_2)e_3 \\ & + \frac{1}{2}C_{33}^\circ e_3^2 + \frac{1}{2}C_{44}^\circ(e_4^2 + e_5^2) + \frac{1}{2}C_{66}^\circ e_6^2. \end{aligned} \quad (4)$$

Here  $a$ ,  $b$  and  $c$  are normal Landau coefficients,  $T_c$  is the critical temperature, the  $\lambda$  are the strain/order parameter coupling coefficients,  $e_1$ – $e_6$  are spontaneous strains and the  $C_{ik}^\circ$  are the bare elastic constants (i.e. excluding the influence of the phase transition). The structural transition has  $q_1 \neq 0$ ,  $q_2 = 0$  and the magnetic transition to  $P6'_3cm'$  would have  $q_1 = 0$ ,  $q_2 \neq 0$  but  $q_1 \neq 0$ ,  $q_2 = 0$  if the magnetic transition is to  $P6_3cm$ . In other words, for both transitions there is only one non-zero order parameter component and these can be referred to generically as  $Q_s$  and  $Q_m$  for the structural and magnetic order parameters respectively. The equilibrium condition  $\frac{\partial G}{\partial e} = 0$  gives the strain/order parameter relationships in the usual way as

$$(e_1 + e_2) = \left[ \frac{2\lambda_3 C_{13}^\circ - 2\lambda_1 C_{33}^\circ}{(C_{11}^\circ + C_{12}^\circ)C_{33}^\circ - 2C_{13}^{\circ 2}} \right] Q^2 \quad (5)$$

$$e_3 = \left[ \frac{2\lambda_1 C_{13}^\circ - \lambda_3 (C_{11}^\circ + C_{12}^\circ)}{(C_{11}^\circ + C_{12}^\circ)C_{33}^\circ - 2C_{13}^{\circ 2}} \right] Q^2. \quad (6)$$

The variations of the elastic constants are given in the usual way, following Slonczewski and Thomas [50], Rehwald [51] and Carpenter and Salje [52], and fall into two groups.  $C_{66}$  ( $= \frac{1}{2}(C_{11} - C_{12})$ ) and  $C_{44}$  have lowest order coupling terms of the form  $\lambda e^2 Q^2$  and will therefore vary with the order parameter according to

$$C_{44} = C_{44}^\circ + 2\lambda_4 Q^2 \quad (7)$$

$$C_{66} = C_{66}^\circ + 8\lambda_5 Q^2. \quad (8)$$

$(C_{11} + C_{12})$ ,  $C_{13}$  and  $C_{33}$  depend on  $\lambda e Q^2$  coupling terms and, for a second order transition, vary as [52]

$$C_{ik} = C_{ik}^\circ - \frac{\lambda^2}{2b}, \quad (9)$$

where  $\lambda$  is the relevant coupling term or combination of coupling terms and  $b$  is the fourth order Landau coefficient. The two separate order parameters,  $Q_s$  to represent the structural order parameter and  $Q_m$  to represent the magnetic order parameter, are necessarily coupled via common strains. In other words, a change in  $Q_s$  will induce a change in  $e_1$  which, in turn, must induce a change in  $Q_m$  through the  $\lambda e_1 Q_s^2$  and  $\lambda e_1 Q_m^2$  terms. The same applies to  $e_3$  and the effective

coupling is biquadratic,  $\lambda Q_s^2 Q_m^2$ , which is also the form of the lowest order direct coupling term allowed by symmetry.

## 5. Discussion

### 5.1. High temperature behaviour

$T_c = 1271$  K from the 246 Landau fit to strain data derived from [15] (figure 7(b)) is close to the temperature of the minimum from  $f^2$  data from RUS ( $\sim 1250$  K, figure 6), allowing for the fact that different  $\text{YMnO}_3$  samples were investigated. The  $P6_3/mmc \leftrightarrow P6_3cm$  transition thus displays the standard pattern of elastic softening associated with a phase transition which is co-elastic with respect to strain. Softening ahead of the transition occurs over a limited temperature interval of a few 10s of K and must arise from coupling of acoustic modes with dynamical clusters/fluctuations/short-range ordering. The step arises from coupling terms of the form  $\lambda e_1 Q_s^2$  and  $\lambda e_3 Q_s^2$ , and the nonlinear recovery below  $T_c$  is due to the contributions of the sixth order term. The maximum strains are  $\sim 0.006$ , and the maximum softening is  $\sim 4\%$ . Without, say, heat capacity data through the transition it is not possible to calibrate the Landau coefficients and produce a quantitative prediction for softening of each of the single crystal elastic constants, but the overall picture is of a classical structural phase transition which conforms to the expected behaviour of a transition which is between second order and tricritical in character. There is no microstructure associated with a co-elastic transition (no symmetry-breaking shear strain) which would move under the application of an external stress. The lack of acoustic dissipation associated with the transition is therefore also entirely consistent with this picture. At least within experimental noise levels there appears to be no evidence for a peak in  $Q^{-1}$  at  $T_c$  that would indicate coupling of strain to any intrinsic aspect of the transition which might be expected to show critical slowing down as  $T \rightarrow T_c$ .

Gibbs *et al* [15] suggested the presence of an isosymmetric phase transition at  $\sim 920$  K, based on changes in equatorial  $O(z)$  coordinates and an increase in the equatorial oxygen plane tilt which would lead to a decrease in the polarization. If there are significant atomic displacements associated with this transition it would be expected to couple with strain and be evident in the variation of the elastic constants. From the present study there is no evidence in the elastic behaviour for any transition in the temperature region  $\sim 1100$ – $400$  K. Additionally, there is no variation in  $Q^{-1}$  associated with this temperature interval. If there really is a phase transition in the vicinity of  $920$  K it is not coupled to any detectable extent with the strain.

The difference in the elastic constants on heating and cooling implies that there is some irreversible change occurring at high temperature which causes the sample to become elastically stiffer. This may be related to impurities in the sample formed by oxidation at elevated temperatures causing small amounts of  $\text{Y}_2\text{O}_3$  to be formed.

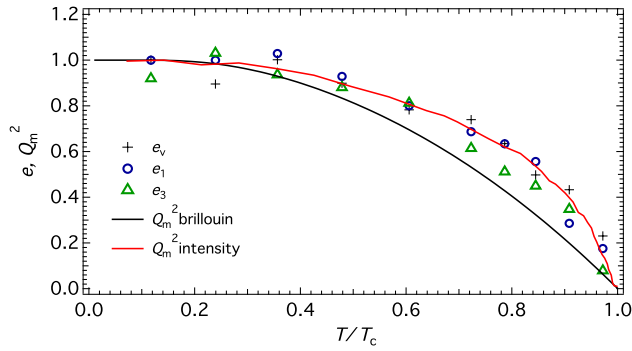
### 5.2. Low temperature behaviour

The present data from RUS at  $\sim 1$  MHz for low temperatures are entirely consistent with previous data from pulse-echo ultrasonic measurements at  $103$  MHz. In both cases there is stiffening associated with the magnetic ordering, apart from the small amount of softening ahead of the Néel temperature. Deformational modes excited in an RUS experiment involve primarily shearing motions, and the variations of  $f^2$ , particularly for the lowest frequency modes, are therefore determined by individual, or combinations of, shear elastic constants. Poirier *et al* [40] reported values of  $C_{66} (= \frac{1}{2}(C_{11} - C_{12})) = 59.4$  GPa and  $C_{44} = 98.6$  GPa at  $4$  K, and it seems likely, therefore, that the lowest frequency (softest) modes are determined predominantly by  $C_{66}$ . The variation of  $f^2$  for peak 2 in figure 3 closely reproduces the variation of  $C_{66}$ , with total stiffenings below  $T_N$  of  $\sim 2.8\%$  (peak 2) and  $\sim 3.1\%$  ( $C_{66}$  from Poirier *et al*) respectively. Peak 1 probably also involves  $C_{66}$  mixed in with other components, but all the other peaks show  $f^2$  variations which are similar to these. Some of the resonances at least must also involve contributions from  $C_{11}$ ,  $C_{12}$  and  $C_{33}$ , so the initial expectation would be that some should involve a degree of softening below  $T_N$ . However, the strain analysis shows that the maximum values of the spontaneous strains due to magnetic ordering are only  $\sim 0.0003$ , which is a factor of 20 smaller than the strains coupled with the structural order parameter. Assuming as a first approximation that all other Landau coefficients in equation (4) have approximately the same values for both transitions yields the prediction that the square of the coupling coefficients, and hence the softening, should be a factor of 400 times smaller than is observed at the structural transition, i.e.  $\sim 0.01\%$ . In other words, the contributions from  $\lambda e Q_m^2$  terms (equation (9)) are negligibly small and the only detectable change arises from  $\lambda e^2 Q_m^2$  terms which lead to variations in elastic constants proportional to  $Q_m^2$  (equations (7) and (8)).

The importance of  $\lambda e^2 Q_m^2$  coupling was already recognized by Poirier *et al* [40] but can now be tested with improved data for the magnetic order parameter. Intensity data for a magnetic (100) Bragg peak,  $I_{100}$ , from Chatterji *et al* [13], corrected for a constant baseline above  $T_N$ , have been added to figure 4. Since  $I_{100}$  is expected to scale with  $Q_m^2$ , the close correlation with the excess elastic constant,  $\Delta C$ , is consistent with  $Q_m^2 \propto \Delta C$ . For  $C_{44}$  and  $C_{66}$ ,  $\lambda e^2 Q_m^2$  is the lowest order coupling and therefore  $Q_m^2 \propto \Delta C$  is expected (equations (7) and (8)). For  $C_{11}$  and  $C_{33}$  the lowest order coupling is of the form  $\lambda e Q_m^2$  but  $\lambda e^2 Q_m^2$  is also allowed and will dominate if the former is small, as appears to be the case here.

In figure 8 the reduced  $I_{100}$  data are combined with the reduced strains for the magnetic ordering transition alone and confirm  $e_i \propto I_{100}$  ( $\propto Q_m^2$ ). The square of the order parameter calculated from the Brillouin function for an  $S = 2$  system does not provide a good description of the ordering, however. The character of the transition is complicated by the geometrical frustration and evidence for significant spin fluctuations [3, 40, 53], but both the strain evolution and





**Figure 8.** The strains  $e_1$ ,  $e_2$  and  $e_3$  as a function of temperature, calculated from lattice parameter data from Lee *et al* [35] using equation (1), shown against  $I_{100}$  from Chatterji *et al* [13], with all data scaled to vary between 0 at  $T_N$  and 1 at 0 K, as well as the square of the Brillouin function for an  $S = 2$  system.

the elastic stiffening are consistent with a classical coupling model. Furthermore, the extremely weak coupling of  $Q_m$  with  $e_1$  and  $e_3$  would contribute only minimally to the biquadratic coupling between the antiferromagnetic and structural order parameters. The lack of acoustic dissipation below  $T_N$  points to the absence of any microstructure or fluctuations on a MHz timescale which might couple with strain. Above  $T_N$  the very slight increase in  $Q^{-1}$  implies that some aspect of the dynamic (magnetic) disordering is coupling very weakly with acoustic mode(s).

It is worth pointing out also that the data presented here show no evidence of piezomagnetic contributions to the measured elastic properties. A bilinear strain/order parameter coupling term is permitted by symmetry for piezomagnetic materials, such as  $\text{YMnO}_3$ , below  $T_N$ . The terms to be added to the Landau expansion would be of the form  $[M_x(e_1 - e_2) - e_6 M_y]Q_m$ , where  $Q_m$  is the antiferromagnetic order parameter and  $M_x$  and  $M_y$  are components of the magnetization induced by strains  $(e_1 - e_2)$  and  $e_6$  respectively. Applying a strain  $(e_1 - e_2)$  should induce a polarization  $M_x$  proportional to this strain, which would then lead to a term that can be written  $\lambda(e_1 - e_2)^2 Q_m$ . Similarly, if a strain  $e_6$  was applied, a polarization  $M_y$  proportional to this strain would be induced, and this would then lead to a term of the form  $\lambda e_6^2 Q_m$ . These would give a contribution to the elastic constants with linear variations in  $Q_m$ . If these coupling coefficients were negative a spontaneous distortion of  $(e_1 - e_2)$  or  $e_6$  would lower the energy of the system, which does not occur. The coupling coefficients must be positive, therefore, and these terms could contribute to the observed stiffening. If this effect is present in the RUS results, it is hidden by the much larger stiffening effects from  $\lambda e^2 Q_m^2$  terms.

## 6. Conclusion

Resonant ultrasound spectroscopy and Landau theory have been used to analyse high temperature structural and low temperature antiferromagnetic phase transitions in hexagonal  $\text{YMnO}_3$ . Elastic stiffening below  $T_N$  confirms the presence of magnetoelastic coupling and the excess elastic constant

appears to vary predominantly with the square of the magnetic order parameter,  $Q_m^2$ . The absence of softening is attributed to the fact that the coupling of the strains with the magnetic order parameter is extremely weak. Acoustic dissipation is observed to be low in the magnetically ordered state but increases almost linearly with temperature above  $T_N$ . This is attributed to some aspect of dynamic magnetic disorder in the paramagnetic phase coupling (weakly) with the strain. At the high temperature unit cell tripling transition, a minimum in the elastic constants is observed with a discontinuity at  $T_c$  and nonlinear recovery of the elastic constants below the transition temperature. This is consistent with coupling to a macroscopic order parameter which evolves at a transition that is intermediate between second order and tricritical in character. No evidence was found for an additional transition at  $\sim 920$  K, consistent with the view of a single continuous structural transition ( $P6_3/mmc \leftrightarrow P6_3cm$ ) at  $T_c \approx 1260$  K.

## Acknowledgment

The RUS facilities in Cambridge were established through a grant from the Natural Environment Research Council of Great Britain (Grant no. NE/B505738/1).

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